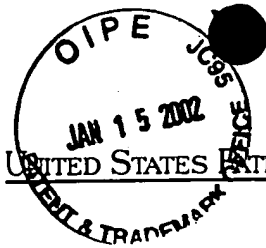


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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/982,086	10/19/2001	Jeong-Kwan Lee	1293.1270

CONFIRMATION NO. 6758

21171
STAAS & HALSEY LLP
700 11TH STREET, NW
SUITE 500
WASHINGTON, DC 20001

FORMALITIES LETTER



OC00000007089767

Date Mailed: 11/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/22/2002 BABRAHA1 00000091 09982086

01 FC:101	740.00 OP	FILED UNDER 37 CFR 1.53(b) <i>Filing Date Granted</i>
02 FC:105	130.00 OP	
03 FC:103	792.00 OP	
04 FC:102	168.00 OP	

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$960.
 - \$792 for 44 total claims over 20.
 - \$168 for 2 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1830.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

3201

S&H Form: PTO/SB/17 (2/01)



RESPONSE TO NOTICE TO FILE MISSING PARTS

Attorney Docket No.	1293.1270
Application Number	09/982,086
Filing Date	October 19, 2001
First Named Inventor	Jeong-kwan LEE, et al.
Group Art Unit	Unassigned

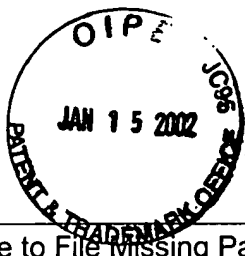
Title: MICRO-LENS BUILT-IN VERTICAL CAVITY SURFACE EMITTING LASER

Pursuant to 37 C.F.R. § 1.53(f) and in response to the U.S. Patent and Trademark Office Notice to File Missing Parts of Nonprovisional Application mailed November 19, 2001 for the above-identified application, enclosed are the following:

- RETURN COPY of Notice to File Missing Parts of Nonprovisional Application
- Executed Combined Declaration/Power of Attorney executed by the inventor for completing the missing parts of the subject application. The undersigned registered attorney states that the subject application is the application which the inventor executed by signing the attached Declaration.
- Formal Drawings (___ Sheets; Figs ___)
- English-language translation of application (with Translator's Statement (pages ___))
- Verified Statement Claiming Small Entity Status

Enclosed is a payment of the following:

<input checked="" type="checkbox"/> The filing fee as set forth in 37 C.F.R. § 1.16(a)	\$ 740.00
<input checked="" type="checkbox"/> The additional claim(s) fee (44 claims over 20)	\$ 792.00
<input checked="" type="checkbox"/> The additional independent claim(s) fee (2 claims over 3)	\$ 168.00
<input type="checkbox"/> Multiple dependent claims	\$
<input type="checkbox"/> Petition for Extension of Time (___-month)	\$
<input type="checkbox"/> English language translation fee	\$
<input checked="" type="checkbox"/> Surcharge as set forth in 37 C.F.R. § 1.16(e)	\$ 130.00
SUBTOTAL FEES:	
<input type="checkbox"/> Reduction by 50% for filing by small entity (37 CFR 1.27)	\$ 1830.00
SUBTOTAL FEES:	
\$ 1830.00	
TOTAL FEES DUE:	
\$ 1830.00	



Since the Notice to File Missing Parts of Nonprovisional Application set an original due date of __, petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110)); (2 months (\$400)); (3 months (\$920)); (4 months (\$1,440)); (5 months (\$1,960)):

METHOD OF PAYMENT


- Check enclosed as payment.
- Charge "TOTAL FEES DUE" to the Deposit Account No. 19-3935, below.

AUTHORIZATION

If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 CFR 1.16 or 1.17 necessary to maintain pendency of the present application to:

Deposit Account No.: 19-3935
Deposit Account Name: STAAS & HALSEY LLP

SUBMITTED BY: STAAS & HALSEY LLP

Typed Name	James G. McEwen	Reg. No.	41,983
Signature		Date	Jan 15, 2002